



QP CODE: 24033579



24033579

Reg No : .....

Name : .....

**UNDER GRADUATE (CBCS) REGULAR / REAPPEARANCE EXAMINATIONS,  
OCTOBER 2024**

**Fifth Semester**

(Offered by the Board of Studies in Commerce)

**OPEN COURSE - CO5OPT02 - CAPITAL MARKET AND INVESTMENT  
MANAGEMENT**

2017 Admission Onwards

EA04937F

Time: 3 Hours

Max. Marks : 80

**Part A**

*Answer any **ten** questions.*

*Each question carries **2** marks.*

1. Define repo.
2. Name four capital market intermediaries.
3. Write any two objectives of SEBI.
4. What is IPO?
5. What are Differential Voting Right Shares?
6. Define Stock Exchange.
7. What are Group A Shares?
8. Distinguish Stock Split and Reverse Split.
9. What do you mean by Mark to Market?
10. List the factors influencing investment decisions.
11. List the process of investment.
12. What is base life insurance plan?

(10×2=20)

**Part B**

*Answer any **six** questions.*

*Each question carries **5** marks.*





13. Explain four major components of financial system .
14. Explain the advantages and disadvantages of bonds.
15. What are the functions of New Issue Market?
16. What are the different Types of Issue?
17. Distinguish between Speculation and Gambling.
18. Briefly discuss the Methods of Trading in a Stock Exchange.
19. Discuss the different types of Options.
20. What are the different tools for determining optimum portfolio?
21. Explain the investment alternatives.

(6×5=30)

**Part C**

*Answer any **two** questions.*

*Each question carries **15** marks.*

22. Explain the recent developments in the Indian financial system.
23. Elucidate the role of various Intermediaries in the New Issues Market.
24. What is industry life cycle? Detail various stages.
25. Explain Mutual Funds and its the major classifications.

(2×15=30)

