

E 3363

(Pages : 2)

Reg. No.....

Name.....

UNDERGRADUATE (C.B.C.S.S.) EXAMINATION, OCTOBER 2016

Fifth Semester

Open Course—CAPITAL MARKET AND INVESTMENT MANAGEMENT

(Offered by the BOS in Commerce)

[2013 Admission onwards]

Time : Three Hours

Maximum : 80 Marks

Part A

*Answer all questions.
Each question carries 1 mark.*

1. What do you mean by capital market ?
2. What is a preference share ?
3. What is meant by minimum subscription ?
4. What do you mean by listing of securities ?
5. What do you mean by speculation ?
6. What is security analysis ?
7. What is PPF ?
8. Write a note on NSC ?
9. Who is a lame duck ?
10. What do you mean by rights issue ?

(10 × 1 = 10)

Part B

*Answer any eight questions.
Each question carries 2 marks.*

11. Who is an underwriter ? What is the role of an underwriter ?
12. Expand SEBI and what is its primary role ?
13. What is OTCEI ?
14. What do you mean by Rolling settlement ?
15. Who is a bull in capital market ?
16. What do you mean by dematerialization ?

Turn over

17. What do you mean by gilt-edged securities ?
18. What is the role of NSDL ?
19. What do you mean by zero coupon bonds ?
20. Who is a lead manager ?
21. What is option contract ? What are its types ?
22. What is NEAT and BOLT ?

(8 × 2 = 16)

Part C

*Answer any six questions.
Each question carries 4 marks.*

23. Explain the listing procedure in Indian stock exchanges.
24. Explain the various instruments in Indian capital market.
25. Differentiate between primary and secondary market.
26. What are powers of SEBI ?
27. Explain the types of speculators.
28. Write a note on the various secondary market indices in India.
29. What are the objectives of investment ?
30. Differentiate between a security and a portfolio.
31. What are the functions of SEBI ?

(6 × 4 = 24)

Part D

*Answer any two questions.
Each question carries 15 marks.*

32. Explain the structure of Indian Financial System.
33. Write an essay on the various investment avenues available for an investor.
34. Explain the portfolio management process.
35. Explain the trading mechanism in stock exchanges.

(2 × 15 = 30)