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QP CODE: 22101867

Reg No :

Name :

**UNDER GRADUATE (CBCS) SPECIAL SUPPLEMENTARY EXAMINATIONS,
MAY 2022**

Fifth Semester

(Offered by the Board of Studies in Commerce)

**OPEN COURSE - CO5OPT02 - CAPITAL MARKET AND INVESTMENT
MANAGEMENT**

2019 Admission Only

BB5A838B

Time: 3 Hours

Max. Marks : 80

Part A

*Answer any **ten** questions.*

*Each question carries **2** marks.*

1. What is meant by financial system ?
2. Distinguish between equity shares and preference shares.
3. Name four major departments of SEBI.
4. What is e-IPO?
5. Who are Depositories?
6. What is Stock Split? Give an Example.
7. Who are Sub-Brokers?
8. What do you mean by De-mutualisation of Stock Exchanges?
9. What is a Forward?
10. What is intrinsic value of a security?
11. What you mean by portfolio evaluation?
12. What is meant by direct and indirect investment?

(10×2=20)

Part B

*Answer any **six** questions.*





Each question carries 5 marks.

13. Distinguish between repo and reverse repo.
14. Explain the advantages and disadvantages of bonds.
15. What are the functions of New Issue Market?
16. Comment on (a) ASBA (b) GSO.
17. What are the different types of Speculators in Stock Exchanges?
18. What are the advantages of Listing of Securities?
19. Differentiate Futures and Options.
20. What are the factors influencing investment decision?
21. Write short notes on Bank deposits.

(6×5=30)

Part C

*Answer any **two** questions.*

*Each question carries **15** marks.*

22. Explain the recent developments in the Indian financial system.
23. Explain the processes and intermediaries involved in the clearing and settlement of Stock Exchange transactions?
24. Define investment and its concepts. Explain characteristics and objectives of investment.
25. Explain Mutual Funds and its the major classifications.

(2×15=30)

