



23133639

QP CODE: 23133639

Reg No :

Name :

**UNDER GRADUATE (CBCS) REGULAR / REAPPEARANCE EXAMINATIONS,
OCTOBER 2023**

Fifth Semester

(Offered by the Board of Studies in Commerce)

**OPEN COURSE - CO5OPT02 - CAPITAL MARKET AND INVESTMENT
MANAGEMENT**

2017 Admission Onwards

7D421737

Time: 3 Hours

Max. Marks : 80

Part A

*Answer any **ten** questions.*

*Each question carries **2** marks.*

1. Name the components of Indian financial system.
2. Name the functions of money market.
3. What is mean by a debenture?
4. What is e-IPO?
5. What is Diffrential Pricing?
6. What do you mean by Listing of Securities?
7. Who are Commission Brokers?
8. Diffrentiate Bull and Bear.
9. What are the major advantages of Futures Trading?
10. What is economic investment?
11. What are the forms of return?
12. What do you mean by corporate security? What are its classification?

(10×2=20)

Part B

*Answer any **six** questions.*





Each question carries 5 marks.

13. Difference between Primary market and secondary market.
14. Briefly explain on investor protection by SEBI .
15. What are the functions of New Issue Market?
16. Discuss the Functions of the Merchant Banker in connection with the Public Issue.
17. Briefly discuss the Methods of Trading in a Stock Exchange.
18. Computation of Index with two stocks, Elucidate with an example.
19. What do you mean by Swap? Explain the different kinds of Swap with Examples.
20. What are the different tools for determining optimum portfolio?
21. What do you mean by off-shore funds?

(6×5=30)

Part C

*Answer any **two** questions.*

Each question carries 15 marks.

22. Explain the recent developments in the Indian financial system.
23. What is 'Listing of Shares'? Explain the requirements for getting a company's share listed.
24. What is security analysis? Detail the various components.
25. Briefly explain Provident Fund and its various types.

(2×15=30)

