



QP CODE: 22103475



Reg No :

Name :

**UNDER GRADUATE (CBCS) REGULAR / REAPPEARANCE EXAMINATIONS,
NOVEMBER 2022**

Fifth Semester

(Offered by the Board of Studies in Commerce)

**OPEN COURSE - CO5OPT02 - CAPITAL MARKET AND INVESTMENT
MANAGEMENT**

2017 Admission Onwards

24781486

Time: 3 Hours

Max. Marks : 80

Part A

*Answer any **ten** questions.*

*Each question carries **2** marks.*

1. Name any two money market instruments.
2. What you mean by SDLs?
3. Write any recent events in Indian financial system.
4. What is lock in period?
5. What are offer documents?
6. Who are Jobbers?
7. What is meant by circuit breaker?
8. What are the demerits of listing?
9. What is headging? Give an example for headging through futures contract.
10. What are features of ideal investment program?
11. What is company risk?
12. What are bank deposits?

(10×2=20)

Part B

*Answer any **six** questions.*

*Each question carries **5** marks.*





13. What is the role of financial system ?
14. Distinguish between redeemable and perpetual debentures.
15. What are the functions of new Issue market?
16. Discuss the functions of the merchant banker in connection with the public issue.
17. What are the different types of issue?
18. Computation of index with two stocks, Elucidate with an example.
19. Differentiate commodity and financial derivatives.
20. Explain the features of investment.
21. Briefly explain the other investment avenues.

(6×5=30)

Part C

*Answer any **two** questions.*

*Each question carries **15** marks.*

22. Explain the functions and objectives of SEBI .
23. Explain the processes and intermediaries involved in the clearing and settlement of Stock Exchange transactions?
24. What is industry life cycle? Detail various stages.
25. What are investment avenues? Explain investment alternatives.

(2×15=30)

